

Retirement Plans: Insight on Fiduciary Responsibilities

The responsibilities of retirement plan fiduciaries continue to evolve with recent legislation and court decisions. Many of the decisions fall back to the basics: what is 404(c)? What is 404(a)?

This presentation will give you a primer on definitions of *fiduciaries* and means to reduce your risk. We will also look at recent court decisions and the impact they may have on your day-to-day responsibilities. Without the basic knowledge of responsibilities, it is difficult to manage your tasks: prudent process, due diligence and documentation. This will help you navigate the bigger picture of your role as fiduciary.

Who should attend?

- Benefit managers
- Financial officers
- Human resource managers
- Financial and benefits professionals
- Risk managers

If you are a fiduciary or think you are a fiduciary—you should attend this breakfast meeting.

Speaker

Jill Barry Shea, CEBS, Principal, Shea Retirement Services, Inc., Irvine, California

Ms. Shea is a founding principal of Shea Retirement Services, Inc. in Irvine, California. She has specialized in the field of retirement planning since 1992. Before starting her own firm, Ms. Shea spent nearly ten years as an executive at Zahorik Company focusing on retirement plans of large nonprofit hospitals. From 2001 until 2006, she worked at Mercer in Newport Beach overseeing the mid-market corporate retirement plans practice. Ms. Shea assists plan sponsors with plan design and compliance, fiduciary reviews, investment monitoring, and participant education. She also conducts vendor searches. Ms. Shea received her certified employee benefit specialist (CEBS) designation in 2003, her accredited investment fiduciary (AIF) designation in 2007 and has many professional licenses. She received her B.A. degree from Scripps College in Claremont.

Date

June 2, 2010 (Wednesday)

Time

8:00 a.m.—Registration and Breakfast
8:30-9:30 a.m.—Program

Location

Holiday Inn—
Orange County Airport
2726 South Grand Avenue
Santa Ana, California
(714) 481-6300

Cost

\$20 OC, LA and SD Chapter Members
\$30 Guests and Nonmembers
(Nonmembers are welcome.)

R.S.V.P.

By May 28, 2010

Register Online

Visit us at www.ociscebs.org to register for this meeting. You can also pay with a credit card or PayPal account through this Web site.

Register by Mail

Please make your check payable to Orange County Chapter—ISCEBS
Attn.: Susan Bock, CEBS
The Balsler Group
Suite 220
4041 MacArthur Blvd.
Newport Beach, CA 92660
susanb@balsergroup.com
www.ociscebs.org
Phone: (949) 724-9608
Fax: (949) 724-9788

Please indicate whether you are a member, nonmember or guest on the registration form.

No refund without 48-hours' notice.

Tax ID #33-0809839

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**Make check payable and mail with completed form to:
Orange County Chapter—ISCEBS**

Attn.: Susan Bock, CEBS
The Balsler Group
4041 MacArthur Blvd., Suite 220
Newport Beach, CA 92660
susanb@balsergroup.com

R.S.V.P. by May 28, 2010

Registration Form

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PLEASE PRINT.



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